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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/770,726

02/04/2004

Eugene Brown

031896-10000

22204 NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASINGTON, DC 20004-2128



**CONFIRMATION NO. 6144 FORMALITIES LETTER** 

OC000000012919527

Date Mailed: 06/10/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/18/2004 HDEMESS1 00000054 192380 10770726

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 DA

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

AUG 17 2004 E

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

|  | Application Number     | 10/770,726           |  |  |  |  |
|--|------------------------|----------------------|--|--|--|--|
|  | Filing Date            | February 4, 2004     |  |  |  |  |
|  | First Named Inventor   | Eugene BROWN, et al. |  |  |  |  |
|  | Group Art Unit         | 1642                 |  |  |  |  |
|  | Confirmation No.       | 6144                 |  |  |  |  |
|  | Attorney Docket Number | 031896-010000        |  |  |  |  |

| ENCLOSURES (check all that apply)   |  |            |   |   |      |  |  |
|---|--|------------|---|---|------|--|--|
| Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Reques  Information Disclosure Statem  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53 | Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s) |            |   | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt with Enclosures A self-addressed prepaid postcard for acknowledging receipt Other Enclosure(s) (please identify below): |      |  |  |
|   | Remarks  |            | y authorized to charge any additional fees<br>ents to Deposit Account No. 19-2380 for the |   |      |  |  |
|   | SIGNATUI   | RE OF APPL | JICANT, ATTORNEY, O   |   | GENT |  |  |
| Firm or Individual name  Raymond Van Dyke Nixon Peabody LLP 401 9 <sup>th</sup> Street, N.W. Suite 900 Washington, D.C. 20004-2128  |  |            |   |   | •    |  |  |
| Signature   | emall.   |            |   |   |      |  |  |
| Date  | 2004   |            |   |   |      |  |  |

## FEE TRANSMITTAL **FOR FY 2004**

Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| Confirmation No.    | 6144          |  |  |  |
|---------------------|---------------|--|--|--|
| Art Unit            | 1642          |  |  |  |
| Attorney Docket No. | 031896-010000 |  |  |  |

10/770,726

February 4, 2004

Eugene Brown, et al.

Application Number

First Named Inventor

Filing Date

| Complete if Known | OIPE                                     |
|-------------------|--|
| 0,726             |  |
| ary 4, 2004       | *  |
| e Brown, et al.   | AU6 1 7 2004 ييا                         |
|                   | (See See See See See See See See See See |
|                   | EN PROCHART                              |
| 6-010000          | TRADEM                                   |

| METHOD OF PAYMENT (check all that apply)                         |             |             |   |                |              |                             |              | FI         | EE CALCULATION (continued)   |                 |  |
|--|-------------|-------------|---|----------------|--------------|-----------------------------|--------------|------------|--|-----------------|--|
| Check Credit Card Money Other None                               |             |             |   |                | 3. A         | DDITIO                      | NAL F        | FEES       |  |                 |  |
| Order  |             |             |   |                |              | Large Entity   Small Entity |              |            |  |                 |  |
| Deposit Ac   |             |             |   |                | Fee          | Fee                         | Fee          | Fee        | Fee Description  |                 |  |
| Account  | 19-23       | 80 (0       | 31896-010000)   |                | Code         | (\$)                        | Code         | (\$)       | -  | 6120.00         |  |
| Number   |             |             | "   |                | 1051<br>1052 | 130<br>50                   | 2051         | 65<br>25   | Surcharge – late filing fee or oath  | \$130.00        |  |
|  |             |             |   |                | 1032         | 30                          | 2032         | 23         | Surcharge - late provisional filing fee or cover sheet                     |                 |  |
| Deposit  | \           | . n         |   |                | 1053         | 130                         | 1053         | 130        | Non-English specification  |                 |  |
| Account Nixon Peabody LLP  |             |             |   |                |              | 2,520                       | 1812         | 2,520      | For filing a request for ex parte reexamination                            |                 |  |
| The Commissi   | oner is a   | uthor       | ized to: (check all that a                                      | upply)         | 1804         | 920*                        | 1804         | 920*       | Requesting publication of SIR prior to Examiner action                     |                 |  |
| Charge feet  |             |             | isa   | overpayments   | 1805         | 1,840*                      | 1805         | 1,840*     | Requesting publication of SIR after Examiner                               |                 |  |
| Charge any   |             |             | •   |                | 1251         | 110                         | 2251         | 55         | action Extension for reply within first month                              | \$110.00        |  |
| Charge fee(s) indicated below, except for the filing fee         |             |             |   |                |              | 420                         | 2252         | 210        | Extension for reply within second month                                    | <b>4</b> 113.55 |  |
| to the above-iden  |             |             | ·   |                | 1252<br>1253 | 950                         | 2253         | 475        | Extension for reply within third month                                     | <del></del>     |  |
|  | F           | FF C        | ALCULATION  | <del></del>    | 1254         | 1,480                       | 2254         | 740        | Extension for reply within fourth month                                    |                 |  |
| 1. BASIC FIL   |             |             | ALCOLATION  |                | 1255         | 2,010                       | 2255         | 1,005      | Extension for reply within fifth month                                     | <del></del>     |  |
| Large Entity   | Small       |             |   |                | 1401         | 330                         | 2401         | 165        | Notice of Appeal   | <del></del>     |  |
| Fee Fee  | Fee         | Fee         | Fee Description   |                | 1402         | 330                         | 2402         | 165        | Filing a brief in support of an appeal                                     |                 |  |
| Code (\$)  | Code        | (\$)        |   | Fee Paid       | 1403         | 290                         | 2403         | 145        | Request for oral hearing   |                 |  |
|  |             |             |   |                | 1451         | 1,510                       | 1451         | 1,510      | Petition to institute a public use proceeding                              | <del></del>     |  |
| 1001 770   | 2001        | 385         | Utility filing fee  |                | 1452         | 110                         | 2452         | 55         | Petition to revive – unavoidable   |                 |  |
| 1002 340   | 2002        | 170         | Design filing fee   |                | 1453         | 1,330                       | 2453         | 665        | Petition to revive – unintentional   |                 |  |
| 1003 530   | 2003        | 265         | Plant filing fee  |                |              |                             |              |            |  |                 |  |
| 1004 770   | 2004        | 385         | Reissue filing fee  |                | 1501         | 1,330                       | 2501         | 665        | Utility issue fee (or reissue)  Design issue fee                           |                 |  |
| 1005 160   | 2005        | 80          | Provisional filing fee  |                | 1502<br>1503 | 480<br>640                  | 2502<br>2503 | 240<br>320 | Plant issue fee  | -               |  |
| avingon ex (a)   |             |             |   |                |              | 130                         | 1460         | 130        | Petitions to the Commissioner  | ļ               |  |
|  |             | SUD         | TOTAL (1) (\$) 0  |                | 1460         |                             |              |            |  |                 |  |
|  |             |             |   |                | 1807         | 50                          | 1807         | 50         | Processing fee under 37 CFR 1.17(q)  |                 |  |
| 2. EXTRA   | CLAIM       | FEES        | FOR UTILITY AND I   | REISSUE        | 1806         | 180                         | 1806         | 180        | Submission of Information Disclosure Strnt                                 | 040.00          |  |
|  |             | F           | Fee from<br>Extra Claims below                                  | Fee Paid       | 8021         | 40                          | 8021         | 40         | Recording each patent assignment per property (times number of properties) | \$40.00         |  |
| Total Claims   | -2          | 90 =        | x =   | 0              | 1809         | 770                         | 2809         | 385        | Filing a submission after final rejection (37 CFR 1.129(a))                |                 |  |
| Independent Claims   | 3           | <b>i</b> =  | x =   | 0              | 1810         | 770                         | 2810         | 385        | For each additional invention to be examined (37 CFR 1.129(b))             |                 |  |
| Multiple Depende   | ent         |             | X ===   | 0              | 1801         | 770                         | 2801         | 385        | Request for Continued Examination (RCE)                                    |                 |  |
| Large Entity   | Small       |             |   |                | 1802         | 900                         | 1802         | 900        | Request for expedited examination of a design                              |                 |  |
| Fee Fee<br>Code (\$)   | Fee<br>Code | Fee<br>(\$) | Fee Description   |                |              |                             |              |            | application  |                 |  |
| ` '  |             | ` '         | Cl-i i  |                | Other        | fee (specif                 | fy)          |            | 745  |                 |  |
| 1202 18  | 2202        | 9           | Claims in excess of 20  |                |              |                             |              |            |  |                 |  |
| 1201 86  | 2201        | 43          | Independent claims in ex  | icess of 3     | *Redu        | ced by Ba                   | sic Filin    | g Fee Paid | SUBTOTAL (3) \$280.00  |                 |  |
| 1203 290   | 2203        | 145         | Multiple dependent clair  | n, if not paid |              | •                           |              | _          |  |                 |  |
| 1204 86  | 2204        | 43          | ** Reissue independent  | claims over    |              |                             |              |            |  | _               |  |
| 1205 18  | 2205        | 9           | original patent  ** Reissue claims in exc  over original patent | ess of 20 and  |              |                             |              |            |  |                 |  |
|  |             |             | SUBTOTAL (2) (\$) 0   |                | Ī            |                             |              |            |  |                 |  |
| **or number previously paid, if greater; For Reissues, see above |             |             |   |                |              |                             |              |            |  |                 |  |
| SURMITTED  |             | ,           | <u> </u>  |                |              | -                           |              |            | Complete (if applicable)   |                 |  |

08/18/2004 HDEMESS1 00000054 192380 10770726

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02 FC:1251 110.00 DA

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Signature

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